

MINISTRY OF CORPORATE AFFAIRS
RECEIPT
G.A.R.7

SRN : T58278243

Service Request Date : 11/11/2021

Payment made into : ICICI Bank

Received From :

Name : JANAKIRAMAN SANTHOSHGANESH
Address : 29 Narashimachari Street,
Kattoourani east, Kottaiyur
Karaikudi, Tamil Nadu
IN - 630106

Entity on whose behalf money is paid

CIN: U65929TN2003PLC050856
Name : IDFC FIRST BHARAT LIMITED
Address : "S.A.N.Complex", No.4, Williams Road,
Cantonment,
Tiruchirappalli, Tamil Nadu
India - 620001

Full Particulars of Remittance

Service Type: eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2021	Normal	600.00
Total		600.00

Mode of Payment: Credit Card- ICICI Bank

Received Payment Rupees: Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65929TN2003PLC050856

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AACCG4482F

(ii) (a) Name of the company

IDFC FIRST BHARAT LIMITED

(b) Registered office address

"S.A.N.Complex", No.4, Williams Road,
Cantonment,
Tiruchirappalli
Tiruchirappalli
Tamil Nadu
620001

(c) *e-mail ID of the company

statutory@idfcfirstbharat.com

(d) *Telephone number with STD code

0431450000

(e) Website

www.idfcbharat.com

(iii) Date of Incorporation

12/05/2003

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

U72400MH2004PLC147094

Pre-fill

Name of the Registrar and Transfer Agent

NSDL DATABASE MANAGEMENT LIMITED

Registered office address of the Registrar and Transfer Agents

4TH FLOOR TRADE WORLD A WINGKAMLA MILLS COMPOUND
LOWER PAREL (W)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	N	Support service to Organizations	N7	Other support services to organizations	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IDFC FIRST BANK LIMITED	L65110TN2014PLC097792	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Total number of equity shares	25,000,000	5,579,996	5,579,996	5,579,996
Total amount of equity shares (in Rupees)	250,000,000	55,799,960	55,799,960	55,799,960

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	25,000,000	5,579,996	5,579,996	5,579,996
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	250,000,000	55,799,960	55,799,960	55,799,960

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of preference shares	25,000,000	0	0	0
Total amount of preference shares (in rupees)	250,000,000	0	0	0

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference				
Number of preference shares	25,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	250,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	3	5,579,993	5579996	55,799,960	55,799,960	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0

v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	3	5,579,993	5579996	55,799,960	55,799,960	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		29/07/2020	
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

4,636,918,231

(ii) Net worth of the Company

1,758,823,433

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	5,579,996	100	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	5,579,996	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
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Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/ Other than promoters)

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Detail	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	4	1	5	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	4	0	5	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MITTUR SWAMINATHA	00169775	Director	0	
KRISHNAMOORTHY A	00386122	Director	0	
JAINALLAUDEEN SAD	07544406	Director	0	
NARASIMHAN SESHAI	03486485	Director	0	
BHARATHI	06519925	Director	0	
ASHISH SINGH	01768711	Nominee director	1	
PRAVEEN KUMAR VEC	09060904	Managing Director	0	
BOBY XAVIER	AAAPX8639G	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
BHARATHI	06519925	Additional director	18/08/2020	Appointment
ARJUN MURALIDHARA	02726409	Managing Director	05/11/2020	Cessation
PRAVEEN KUMAR VEC	09060904	Managing Director	17/02/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	29/07/2020	7	6	99.99

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	02/05/2020	6	6	100
2	21/07/2020	6	6	100
3	20/10/2020	7	7	100
4	06/11/2020	6	6	100
5	21/01/2021	6	6	100
6	18/03/2021	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	02/05/2020	5	5	100
2	Audit Committee	21/07/2020	5	5	100
3	Audit Committee	20/10/2020	6	6	100
4	Audit Committee	21/01/2021	6	6	100
5	Nomination and Remuneration	01/05/2020	4	4	100
6	Nomination and Remuneration	21/07/2020	4	4	100
7	Nomination and Remuneration	06/11/2020	4	4	100
8	Nomination and Remuneration	21/01/2021	4	4	100
9	Risk Management	01/05/2020	5	5	100
10	Risk Management	21/07/2020	5	5	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 14/09/2021 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	MITTUR SWA	6	6	100	13	13	100	Yes
2	KRISHNAMOC	6	6	100	12	12	100	Yes
3	JAINALLAUDE	6	6	100	9	9	100	Yes
4	NARASIMHAN	6	6	100	13	13	100	Yes
5	BHARATHI	4	4	100	4	4	100	Yes
6	ASHISH SING	6	6	100	17	17	100	Yes
7	PRAVEEN KU	1	1	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Arjun Muralidharan	MD and CEO	6,142,428	0	0	2,571,250	8,713,678
2	Praveen Kumar Vec	MD and CEO	1,721,428	0	0	10,000,000	11,721,428
	Total		7,863,856	0	0	12,571,250	20,435,106

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Boby Xavier	Company Secre	3,198,471	0	0	1,427,003	4,625,474
	Total		3,198,471	0	0	1,427,003	4,625,474

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MITTUR SWAMINA	Independent Dir	0	0	0	1,040,000	1,040,000
2	KRISHNAMOORTH	Independent Dir	0	0	0	1,000,000	1,000,000
3	JAINALLAUDEEN S	Independent Dir	0	0	0	880,000	880,000
4	NARASIMHAN SES	Independent Dir	0	0	0	1,040,000	1,040,000
5	BHARATHI	Independent Dir	0	0	0	480,000	480,000
	Total		0	0	0	4,440,000	4,440,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MAHESH KUMAR CHEGU

Whether associate or fellow

Associate Fellow

Certificate of practice number

18798

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

To be digitally signed by 

- Company Secretary
- Company secretary in practice

Membership number Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

Attach	List of shareholders Fy 2020-21.pdf
Attach	List of Transfers FY 2020-21.pdf
Attach	Letter to RoC MGT7.pdf
Attach	MGT-8_IDFC_2021_MCA.pdf
Attach	List of Committee Meetings FY 2020-21.pdf

Remove attachment

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

IFBL / SEC / 2021-22/ NOV / 03

November 11, 2021

To,
Registrar of Companies,
Block No.6, B Wing 2nd Floor, Shastri Bhawan,
26, Haddows Road,
Chennai - 600034, Tamilnadu

Dear Sir

Sub: Signing of E form MGT 7 for the FY ended March 31, 2021

Pursuant to Section 92(1) of the Companies Act, 2013 and rule 11(1) of the Companies (Management and Administration) Rules, 2014, a company has to file E-form MGT7 on a yearly basis and the same shall be signed by a director and the company secretary, or where there is no company secretary, by a company secretary in practice.

It is also submitted to your good self that the Board of Directors had appointed me as the Company Secretary of the Company, and I am associated with this company June 24, 2010. I am holding a valid membership with the Institute of Company Secretaries of India vide no F10792 and in possession of a valid Class II digital signature which was duly registered with the Ministry.

Whenever trying to upload an E-form with MCA using the said digital signature an error message popup saying that the 'membership number is not associated with the Company'. Subsequently raised a ticket with MCA vide SR1671039

Further after despite representation with MCA by writing repeatedly to helpdesk (copy attached as **Annexure A**) of the ministry, the said error still exists.

The AGM of the company held on September 14, 2021 and the due date for filling MGT 7 falls on November 13, 2021. The provisions mandate that the form to be digitally signed by the director of the Company and the Company secretary or in his absence by a company secretary in practice.

In this juncture e form MGT 7 for the financial year ended March 31, 2021 was signed by the Managing Director of the Company and by a CS in practice with the sole intention to file the form within the due date as per the provisions of Companies Act, 2013 read with the rules and regulation made thereunder and effective for the time being in force.

Request your good self to take the same on record and accord necessary approvals as required.

Thanking you.

For IDFC FIRST Bharat Limited

**Boby
Xavier**

Digitally signed by Boby Xavier
DN: cn=Boby Xavier,
2.5.4.20=3d4ee22d41c5b70d68b19f
603891744e32eac9c169993192d944b
946a2, postalCode=600034, serial
Number=70687c1d77828d70bc59
6118cb33cc960f4e3ba2a1beea868
46b04968, cn=Boby Xavier,
Date: 2021.11.11 13:37:32 +05'30'

Boby Xavier
Head Legal & Company Secretary
ICSI Membership No. F-10792

Page 1 of 1

Details of Committee Meetings of IDFC FIRST Bharat Limited in the Financial Year 2020-21

SI No	Type of Meeting	Date of Meeting	Total number of members as on the date of the meeting	Number of members attended	% of attendance
1	Audit Committee	2/May/20	5	5	100
2	Audit Committee	21/Jul/20	5	5	100
3	Audit Committee	20/Oct/20	6	6	100
4	Audit Committee	21/Jan/21	6	6	100
5	Nomination and Remuneration Committee	01/May/20	4	4	100
6	Nomination and Remuneration Committee	21/Jul/20	4	4	100
7	Nomination and Remuneration Committee	6/Nov/20	4	4	100
8	Nomination and Remuneration Committee	21/Jan/21	4	4	100
9	Corporate Social Responsibility Committee	01/May/20	4	4	100
10	Risk Management Committee	01/May/20	5	5	100
11	Risk Management Committee	21/Jul/20	5	5	100
12	Risk Management Committee	20/Oct/20	6	6	100
13	Risk Management Committee	21/Jan/21	5	5	100
14	Internal Operations Management Committee	6/Nov/20	4	4	100
15	Internal Operations Management Committee	19/Dec/20	4	4	100
16	Internal Operations Management Committee	15/Feb/21	4	4	100
17	Internal Operations Management Committee	27/Feb/21	4	4	100

For **IDFC FIRST Bharat Limited**

**Boby
Xavier**

Digitally signed by Boby Xavier
DN: cn=Boby Xavier,
2.5.4.20c2d566e2281f13b701648819b
f6389174f828ec91b9903c95f6404
b84cb2, postalCode=620012,
st=Tamil Nadu,
serialNumber=70687c247723c47db5
9611f6db3ccc06034ecba5a11bee86
846b90968, cn=Boby Xavier
Date: 2021.11.11 17:43:48 +05'30'

Boby Xavier

Company Secretary

ICSI Membership Number F10792

Address: S.A.N. Complex, No. 04 Williams Road,
Cantonment Tiruchirappalli - 620001

**List of Shareholders of IDFC FIRST Bharat Limited as on 31.03.2021**

First Name	Middle Name	Last Name	Folio Number	DP-ID Client ID Account Number	Number of Shares Held	Class of Shares
IDFC FIRST Bank Ltd	-	-	-	IN304203 10000004	5,579,990	Equity share
IDFC FIRST Bank Ltd jointly with	-	Mr. Saptarshi Bapari	137		1	Equity share
IDFC FIRST Bank Ltd jointly with	-	Mr. Sudhanshu Jain	140		1	Equity share
IDFC FIRST Bank Ltd jointly with	-	Mr. Satish Gaikwad	139		1	Equity share
IDFC FIRST Bank Ltd jointly with	-	Ms. Goretti Deabero	-	IN301330 22355183	1	Equity share
IDFC FIRST Bank Ltd jointly with	-	Mr. Harikumar Iyer	-	IN301330 22354635	1	Equity share
IDFC FIRST Bank Ltd jointly with	-	Mr. Ashish Singh	-	IN301330 22354619	1	Equity share

For IDFC FIRST Bharat Limited

**Boby
Xavier**

Digitally signed by Boby Xavier
DN: c=IN, o=Personal,
2.5.4.20=d8dee22dd1c5b70b688b1
9060391744f62eecc9c1b9903c95f
c4d4b84c3a2, postalCode=620012,
st=Tamil Nadu,
serialNumber=70c87c2d77828c7db
c59611f6db3ccc0603f4ecbaa5a1be
ea85646b040968, cn=Boby Xavier
Date: 2021.11.11 13:31:34 +05'30'

Boby Xavier

Company Secretary

ICSI Membership Number F10792

Address: S.A.N. Complex, No. 04 Williams Road,
Cantonment Tiruchirappalli - 620001

Details of Transfers effected during the FY 2020-21

Name of the transferor	Distinctive Number		No. of Shares	Consideration paid per share	Name of the Transferee	Date of Transfer	Folio number		Transfer Number
	From	To					Transferor	Transferee	
IDFC FIRST Bank Limited with Mr. Pankaj Sanklecha	5402500	5402500	1	Rs.10/-	IDFC FIRST Bank Limited with Mr. Sudhanshu Jain	20-Oct-20	138	140	01/2020-21

For IDFC FIRST Bharat Limited

**Boby
Xavier**

Digitally signed by Boby Xavier
DN: cn=Boby Xavier,
2.5.4.20=d8ee22651c3b770b588b196f
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0b04f0966, cn=Boby Xavier
Date: 2021.11.11 13:33:05 +05'30'

Boby Xavier

Company Secretary

ICSI Membership Number F10792

**Address: S.A.N. Complex, No. 04 Williams Road,
Cantonment Tiruchirappalli - 620001**



FORM MGT - 8

[Pursuant to Section 92(2) of the Companies Act, 2013 and
Rule 11 (2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books and papers of M/s. **IDFC FIRST BHARAT LIMITED** (formerly known as IDFC Bharat Limited) (CIN - U65929TN2003PLC050856) (the Company) having its registered office at S.A.N. Complex, No.4, Williams Road, Cantonment, Tiruchirappalli, Tamil Nadu 620001 as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended 31st March 2021 on test basis.

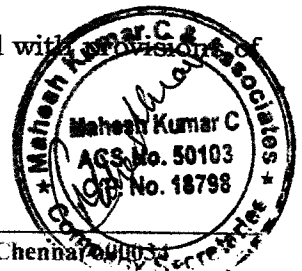
I further state that:

- a. I have obtained an assurance through a declaration stating that the company has complied with the provisions of Companies Act, 2013 with applicable rules and with the same the company declared that they are maintaining of the secretarial records as per the provisions of Companies Act, 2013. The verification of the same was done on test basis to ensure the correct facts.
- b. I have not verified the correctness and appropriateness of financial records and book of accounts of the Company.

To the best of my knowledge and according to the examinations carried and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

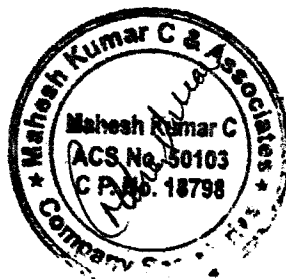
B. During the aforesaid financial year ended the Company has complied with the provisions of the Act & Rules made there under in respect of:



Suit no. 473, 4th Floor, Uthamar Gandhi Salai, Kaveri Complex, Nungambakkam, Chennai 600034
Email: psmaheshkumar@gmail.com; Mob: 9003196515

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1. The Company is a Public Limited Company (limited by Shares);
2. Maintenance of registers/records & making entries therein within the time prescribed there for;
3. Filing of forms and returns are not stated in the annual return. However, the Company has filed the forms and returns with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities within/beyond the prescribed time;
4. Calling/ convening/ holding meetings of Board of Directors, its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions, and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed
5. During the year, no situation arose requiring closure of register of members / security holders;
6. The Company has not made any advances/loans to its directors and/or persons or firms or companies falling within the purview of the explanation to section 185 of the Act;
7. Contracts/arrangements with related parties as specified in section 188 of the Act and are in compliance with the provisions of the Act;
8. There was a transfer of share during the year under review and the company has issued share certificates on such request. However, there were no issue or allotment or transmission or buy back of any securities, redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security redemption of debentures and issuance of security certificates;



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9. There were no transactions necessitating the company to keep in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with provisions of the Act;

10. The company has not declared any dividend and hence the necessity of transfer of unpaid / unclaimed dividend as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act does not arise;

11. The audited financial statements have been signed in accordance with the provisions of Section 134 Act and report of directors is as per sub - sections (3), (4) and (5) thereof;

12. During the year under review the following are the changes in the Board:

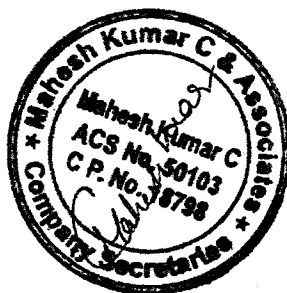
Mrs. P V Bharathi (DIN:06519925) appointed as additional director with effect from August 18, 2020 and Mr. Praveen Vecha (DIN: 09060904) appointed as additional director and designated him as the Managing Director & Chief Executive Officer (MD & CEO) with effect from February 17, 2021

Mr. Arjun Muralidharan (DIN: 02726409) has tendered his resignation from the post of Managing Director & Chief Executive Officer (MD and CEO) effective from November 05, 2020

Except above specified there was no change in the constitution of Board and all requisite provisions relating to remuneration of Directors and Key Managerial Personal of the Act are compiled there of

13. During the year there was no appointment / reappointment / filling up casual vacancies of auditors as per the provisions of Sections 139 of the Act;

14. The Company is not required to make any application to Central Government, Tribunal, Regional Director, Registrar, Court or any such other authorities under the various provisions of the Act;



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15. The Company has not accepted / renewed / repaid of any deposits during the year under review.
16. The Company has no borrowings from its directors, members, public financial institutions, banks and others and creation / modification / satisfaction of charges during the year under review;
17. The Company did not grant any loans or investments or furnished any guarantees or provided any securities to other bodies corporate or persons falling within the provisions of Section 186 of the Act;
18. During the year the Company has not altered the provisions of Memorandum of Association and Articles of Association;

**For Mahesh Kumar C & Associates
Company Secretaries**


**Mahesh Kumar Chegu
Practicing Company Secretary
Sole Proprietor**



Place: Chennai

Date: 11th November 2021

UDIN: A050103C001408306

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